



PATENT Attorney Docket No. 82001-0189

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kurt A. ZAREFOSS et al.	JAN A
Application No.: 09/965,854	) Art Unit: 2161 Group 2100
Filed: October 1, 2001	) Examiner: Unknown
For: SYSTEM AND METHOD FOR SUPPLY CHAIN MANAGEMENT, INCLUDING COLLABORATION	) .

Commissioner for Patents Washington, D.C. 20231

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

المستخدد المسائد

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449, which the Examiner may deem relevant to the patentability of the above-identified application. One copy of each of the listed documents is submitted herewith.

This information disclosure statement is being filed within one of the following time periods: within three months of the filing date of this application other than a continued prosecution application, or within three months of the date of entry into the national stage of this international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. Therefore, no certification under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

Applicant respectfully requests that the Examiner considers the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission the listed documents are material or constitute "prior art."

Attol Docket No. 82001-0189
Application No. 09/965,854
Page 2

If the Examiner applies the documents as "prior art" against any claims in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

Except for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

HOGAN & HARTSON LLP

Dated: January 2, 2002

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APPLICATION NO 09/965,854

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2161 ATTY, DOCKET NO. FORM PTO-1449 U.S. DEPARTMENT OF OMMERCE 82001-0189 PATENT AND TRADEMARK OFFICE APPLICANT INFORMATION DISCLOSURE Kurt A. ZAREFOSS et al. CITATION **FILING DATE** eral sheets if necessary) October 1, 2001 U.S. PATENT DOCUMENTS FILING DATE IF SUB **EXAMINER PATENT** ISSUE CLASS **APPROPRIATE PATENTEE** CLASS DATE INITIAL NUMBER 364 403 07/31/89 5,056,017 10/08/91 McGarvey 11/28/89 403 364 5,128,861 07/07/92 Kagami et al. 364 403 02/21/89 12/01/92 Kawashima et al. 5,168,445 01/11/91 Hornick 364 407 5,270,921 12/14/93 10/04/91 02/01/94 Gross et al. 395 51 5,283,856 02/23/95 Ballard 395 51 5,487,134 01/23/96 227 03/16/94 Lockwood 395 5,576,951 11/19/96 Tsuji et al. 396 761 10/05/92 12/17/96 5,586,025 12/20/95 Schmerer 395 228 07/01/97 5,644,725 03/08/95 76 5,701,400 12/23/97 Amado 395 04/02/93 228 Johnson et al. 395 5,712,989 01/27/98 200.11 06/21/95 5,715,393 02/03/98 Naugle 395 12/13/91 5,727,164 03/10/98 Kaye et al. 395 228 06/19/96 5.764.509 06/09/98 Gross et al. 364 149 07/22/97 468.13 06/23/98 Yamamoto et al. 364 5,771,172 09/01/98 Gross et al. 395 51 02/26/96 5,802,253 09/15/98 Martin et al. 705 11 02/03/97 5,809,479 09/29/98 Lenz et al. 395 21 03/01/96 5,815,638 02/09/96 Joseph 705 22 5,878,401 03/02/99 **Brockman** 707 2 05/01/97 03/16/99 5,884,300 07/23/97 705 28 04/27/99 Ramaswamy 5,897,624 705 35 06/13/97 5,913,202 06/15/99 Motoyama 07/22/97 468.13 364 5,914,878 06/22/99 Yamamoto et al.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**DATE CONSIDERED** 

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**EXAMINER** 

Sheet 2 of 2

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 82001-0189

Sheet 2 or 2

APPLICATION NO. 09/965,854

GROUP OUD 2161

INFORMATION DISCLOSURE CITATION

APPLICANT Kurt A. ZAREFOSS et al.

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FILING DATE October 1, 2001

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INITIAL INITIAL	<b>y</b>				SUB	FILING DATE IF
XAMINER	PATENT	ISSUE				
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